



IN THE UNITED STATES PATIENT AND TRADEMARK OFFICE ECEIVED

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FEB 26 2002

In re Application of: Stefan J. Murry et al.

Application No.: 09/855,853

Filed: May 15, 2001

Title: VCSEL Assembly with Edge-Receiving

Optical Devices

Group Art Unit: TC 22881 MAIL ROOM

Examiner:

Unassigned

Confirmation No.:

1173

Atty Docket No.:

PAT013US

Box DD Commissioner for Patents Washington, D.C. 20231-0001 CERTIFICATE OF MAILING BY FIRST CLASS MAIL DATE OF DEPOSIT: January 29, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail on the date indicated above and is addressed to Box DD, Commissioner for Patents, Washington, D.C. 20231-0001.

Yvonne R. Simera

TRANSMITTAL LETTER

Enclosed for filing in the referenced case please find the following:

- 1. Supplemental Information Disclosure Statement;
- 2. Information Disclosure Citation;
- 3. Cited Reference; and
- Return Postcard.

While applicant believes no fees are due in association with this filing, the Commissioner is hereby authorized to charge any additional fees, or credit any overpayment, to Deposit Account No. 50-1705.

Respectfully submitted,

Date: 1-29-02

N. Stephan Kinsella

Registration No. 37,657

Applied Optoelectronics, Inc.

13111 Jess Pirtle Blvd.

Sugar Land, Texas 77478

Tel: (281) 295-1800 Fax: (281) 295-1888

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PEB 25 2002

TC 2800 MAIL ROOM

In re Application of: Stefan J. Murra & al. Group Art Unit: 2881

Application No.: 09/855,853

Examiner:

Unassigned

Filed: May 15, 2001

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Optical Devices

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Simera

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In compliance with the information disclosure statement requirements of 37 C.F.R. §§ 1.56 and 1.97. Applicant hereby submits the attached reference related to the above-identified application.

Respectfully submitted,

Date: (-29-02

Registration No. 37,657

Applied Optoelectronics, Inc.

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Sugar Land, Texas 77478

(281) 295-1800 Tel: Fax: (281) 295-1888